



1 Approximation for Minimum Multicast Route 2 in Optical Network with Nonsplitting Nodes

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11 **Abstract.** Consider the problem of computing the minimum-weight multicast route in an optical network with
12 both nonsplitting and splitting nodes. This problem can be reduced to the minimum Hamiltonian path problem
13 when all nodes are nonsplitting, and the Steiner minimum tree problem when all nodes are splitting. Therefore,
14 the problem is NP-hard. Previously, the best known polynomial-time approximation has the performance ratio 3.
15 In this paper, we present a new polynomial-time approximation with performance ratio of $1 + \rho$, where ρ is the
16 best known approximation performance ratio for the Steiner minimum tree in graph and it has been known that
17 $\rho < 1.55$.

18 **Keywords:**

Au: Pls provide
keywords.

19 1. Introduction

20 A potential infrastructure for a next generation network is to put mobile wireless access
21 networks on top of an all-optical core network. The optical network in core provides the
22 efficient high-speed communication with high bandwidth and low end-to-end delay. It is also
23 desirable that the optical network layer provides multicast capability due to the requirement
24 of many applications. By multicast, we mean that given a network topology, source of the
25 multicast session, multicast members, finds a multicast route that spans all the members. In
26 this paper, we consider the minimum-weight multicast problem, that is, we want to find a
27 multicast route with the minimum total weight.

28 An optical network is usually formulated as a weighted graph with switches as nodes.
29 We consider two types of switches, nonsplitting and splitting. Corresponding nodes are
30 also said to be *nonsplitting* and *splitting*, respectively. A nonsplitting switch cannot split

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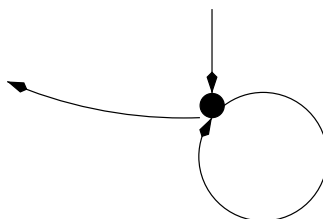


Figure 1. A nonsplitting node.

an input signal into several outputs. Therefore, in a multicast route, a signal may pass a nonsplitting node several times (figure 1), but cannot be split. If all nodes are nonsplitting, the minimum-weight problem can be reduced to the the minimum weight Hamiltonian path problem. The latter is well-known to be NP-hard (Garey and Johnson, 1979) and to have a polynomial-time approximation with performance ratio 1.5 (Christofides, 1976).

If all nodes are splitting, then the minimum-weight multicast route is the minimum Steiner tree, which is also well-known to be NP-hard (Garey and Johnson, 1979) and to have a polynomial-time approximation with performance ratio < 1.55 (Robins and Zelikovsky, 2000).

Clearly, when both nonsplitting and splitting nodes exist, the minimum-weight multicast problem is NP-hard and its polynomial-time approximation should be constructed with techniques from the study of both the Hamiltonian path and the Steiner minimum tree.

Yan et al. (2003) gave the first approximation consisting of two steps. In the first step, a Steiner tree T is constructed to interconnect the source node and all multicast members under the assumption that all nodes are splitting. In the second step, a tour starting from the source node along the Steiner tree to reach all multicast members is constructed in the depth-first-search rule. Suppose ρ is the performance ratio of the best known polynomial-time approximation for the Steiner minimum tree. Then the approximation of Yan, Deogun and Ali has the performance ratio 2ρ (≈ 3.1). Du et al. (2005) gave an improvement by pointing out that when all nodes are considered to be nonsplitting, the 1.5-approximation for the Hamiltonian path actually gives a 3-approximation for the minimum-weight multicast problem.

In this paper, we will present a new polynomial-time approximation with performance ratio $\rho + 1$ (< 2.55).

2. Preliminary

Motivated from Christofides' 1.5-approximation for the Hamiltonian cycle, it is naive to design an approximation for the minimum-weight multicast problem as follows: *Step 1.* Construct an edge-weighted complete graph G for the source node, all multicast nodes and all splitting nodes where the weight of each edge is the length of the shortest path between the two endpoints in the input optical network.

60 *Step 2.* Construct a Steiner tree T for the source node and all multicast members in G .

61 *Step 3.* Construct a perfect matching M for all multicast members with odd degree, if the
62 number of those members is even; or for the source node and all multicast members with
63 odd degree, otherwise.

64 *Step 4.* Find a multicast route in the union of T and M .

65 However, this algorithm may stuck at Step 4 because the union sometimes does not
66 contain a multicast route. A counterexample can be found in Du et al. (2005). This is
67 why Yan et al. (2003) did not use the perfect matching and Du et al. (2005) use a minimum
68 spanning tree instead of a Steiner minimum tree. In this paper, we will introduce a technique
69 to solve this problem.

70 3. Main result

71 Let us describe our new approximation algorithm.

72 First, construct a weighted complete graph G on the source node, all multicast members,
73 and all splitting nodes where the weight of each edge (u, v) equals the total weight of the
74 shortest path between u and v in the original optical network. Note that this weight function
75 satisfies the triangular inequality in G . Then construct a Steiner tree T for the source node
76 and all multicast nodes in G using a polynomial-time approximation algorithm (Robins and
77 Zelikovsky, 2000; Karpinski and Zelikovsky, 1997). Suppose ρ is the performance ratio of
78 this approximation of the Steiner minimum tree. All nodes other than the source node and
79 multicast members are called *Steiner nodes*. They must be splitting.

80 Consider T as a tree rooted at the source node s . Then we can assign every edge in T a
81 top-down direction coincided with a path from the root s to a leaf. All edges each of which
82 is incident to at least one Steiner node form a forest F . Each connected component E of F
83 is a rooted subtree. Let $p(E)$ be a path from the root to a leaf in E . Let $T \setminus F$ be the subforest
84 of T , with edges in T but not in F . We union $T \setminus F$ together with all $p(E)$ for E over all
85 connected components of F . The resultant subforest of T is denoted by K . Note that in K
86 every Steiner node has even degree. Therefore, the number of multicast members with odd
87 degree in K must be even.

88 Let O be the set of nodes with odd degree in K . Construct a minimum weight perfect
89 matching M for O . Now, we show that $T \cup M$ contains a multicast route.

90 **Theorem 1.** $T \cup M$ contains a multicast route using each edge at most once.

91 **Proof:** Note that $K \cup M$ is a disjoint union of cycles; each cycle is a connected component
92 of $K \cup M$. One of these cycle, say C , contains the source node s . From s , send a message
93 along an edge of C , in the top-down direction, to an adjacent node. Later, every node will
94 follow from the following rules to transmit the message.

95 (a) When a Steiner node receives a message, it will pass the message to all its children
96 nodes. This may require to split the message.

97 (b) When a multicast member a receives a message at the first time and the message comes
98 from an adjacent node in a cycle C of $K \cup M$, a will pass the message to the other
99 adjacent node in C .

- (c) When a multicast member a receives a message at the first time and the message does not come from an edge in $K \cup M$, a will pass the message to an adjacent node in $K \cup M$ along an edge in the top-down direction. 100
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- (d) When a multicast member receives a message not at the first time, it will do nothing. 103

This multicast route would use each edge at most once and all multicast nodes would receive the message because T is connected. 104
□ 105

We next estimate the total weight of $T \cup M$. To this end, it suffices to study the weight of M since the total weight of T is within a factor of ρ from the weight of a Steiner minimum tree, hence is upper-bounded by $\rho \cdot opt$ where opt is the minimum-weight of a multicast route. 106
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Lemma 1. *The total weight of M is at most opt .* 110

Proof: Consider a minimum multicast tree T^* in the given optical network. Starting from the source node, travel along tree T^* in the depth-first search way. Then we would obtain a tour passing through the source node and all multicast members in the given optical network. Turn this tour into a cycle Q in graph G . The total weight of the cycle Q is at most $2opt$. Note that the source node and all multicast members are on the cycle Q . We consider those nodes with odd degree in K . Recall that those nodes form a set O . Along the cycle Q , connect nodes in O directly. We would obtain a cycle Q' on O with total weight at most $2opt$ since the edge-weight in G satisfies the triangular inequality. The cycle Q' can be decomposed into two disjoint perfect matchings for O . One of them must have the total weight at most opt . Therefore, M has the total weight at most opt . □ 111
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Theorem 2. *The total weight of $T \cup M$ is at most $(1 + \rho)opt$.* 121

Proof: It follow immediately from Lemma 1. □ 122

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