

Dense QCD and compact stars

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Plan of the talk:

1. Dense QCD: From NJL model EoS to synthetic parametrization
2. Mass-Radius diagram and astrophysical constraints
3. Tidal deformabilities and the GW170817 event
4. Universal relations and an example of application

In collaboration with:

Mark Alford (Washington University, St. Louis, USA)

Jia-Jie Li (Goethe-University → South Western University, China)

also for universalities: V. Paschalidis, K. Yagi, D. Blaschke,
Alvarez-Castillo, Largani, Fischer, Raduta, Oertel, Khadkikar

Dense QCD
and compact
stars

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Dense QCD

Mass-Radius
diagram

Tidal
deformabilities
and radii of
compact stars

Universalities
relations

Dense QCD: From NJL model EoS to synthetic parametrization

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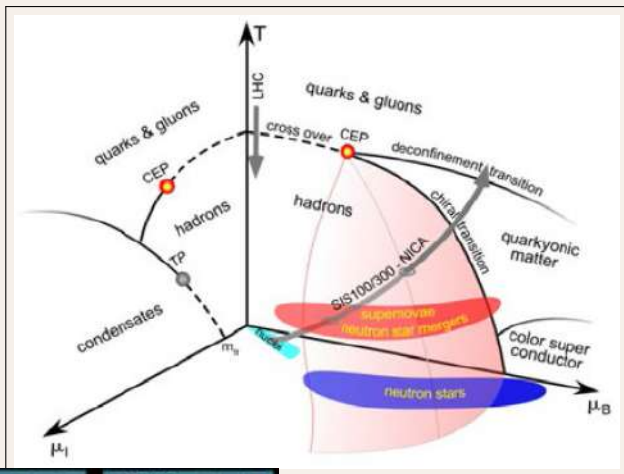
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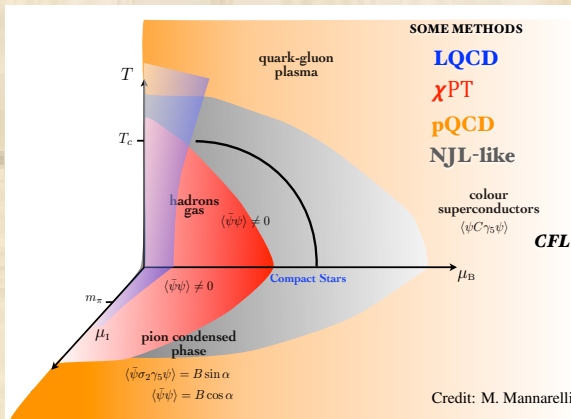


Leptons			Quarks		
Flavor	Mass GeV/c ²	Electric charge	Flavor	Approx. Mass GeV/c ²	Electric charge
ν_e electron neutrino	$<1 \cdot 10^{-8}$	0	u up	0.003	2/3
e electron	0.000511	-1	d down	0.006	-1/3
ν_μ muon neutrino	<0.0002	0	c charm	1.3	2/3
μ muon	0.106	-1	s strange	0.1	-1/3
ν_τ tau neutrino	<0.02	0	t top	175	2/3

The QCD Lagrangian is written for $\psi_q = (\psi_{qR}, \psi_{qG}, \psi_{qB})^T$ as

$$\mathcal{L}_{QCD} = \underbrace{\bar{\psi}_q^i (i\gamma^\mu) (D_\mu)_{ij} \psi_q^j - m_q \bar{\psi}_q^i \psi_{qi}}_{\text{quarks}} - \underbrace{\frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu}}_{\text{gluons (Yang-Mills)}},$$

where $\underbrace{(D_\mu)_{ij} = \delta_{ij} \partial_\mu - ig_s t_{ij}^a A_\mu^a}_{\text{covariant derivative}}$, and $\underbrace{F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu - 2g(A^\mu \times A^\nu)}_{\text{gluonic field (Yang-Mills) field tensor}}$



NJL model description of quark matter

$$\begin{aligned}
 \mathcal{L}_{NJL} = & \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - \hat{m})\psi}_{\text{quarks}} + \underbrace{G_V(\bar{\psi}i\gamma^\mu\psi)^2}_{\text{vector}} + \underbrace{G_S \sum_{a=0}^8 [(\bar{\psi}\lambda_a\psi)^2 + (\bar{\psi}i\gamma_5\lambda_a\psi)^2]}_{\text{scalar-pseudoscalar}} \\
 & + \underbrace{G_D \sum_{\gamma,c} [\bar{\psi}_\alpha^a i\gamma_5 \epsilon^{\alpha\beta\gamma} \epsilon_{abc} (\psi_C)^b] [(\bar{\psi}_C)_\rho^r i\gamma_5 \epsilon^{\rho\sigma\gamma} \epsilon_{rsc} \psi_\sigma^s]}_{\text{pairing}} \\
 & - \underbrace{K \{ \det_f [\bar{\psi}(1 + \gamma_5)\psi] + \det_f [\bar{\psi}(1 - \gamma_5)\psi] \}}_{\text{t'Hooft interaction}},
 \end{aligned}$$

- quarks: ψ_α^a , color $a = r, g, b$, flavor ($\alpha = u, d, s$); mass matrix: $\hat{m} = \text{diag}_f(m_u, m_d, m_s)$;
- other notations: $\lambda_a, a = 1, \dots, 8, \psi_C = C\bar{\psi}^T$ and $\bar{\psi}_C = \psi^T C, C = i\gamma^2\gamma^0$.

Parameters of the model:

- G_S the scalar coupling and cut-off Λ are fixed from vacuum physics
- G_D is the di-quark coupling $\simeq 0.75G_S$ (via Fierz) but free to change
- G_V and ρ_{tr} are treated as free parameters

QCD interactions pairing interactions and gaps

- Symmetric in space wave function (isotropic interaction) $\langle 0 | \psi_{\alpha\sigma}^a \psi_{\beta\tau}^b | 0 \rangle$
- Antisymmetry in colors a, b for attraction
- Antisymmetry in spins σ, τ (Cooper pairs as spin-0 objects)
- Antisymmetry in flavors α, β

2SC phase:

Low densities, large m_s (strange quark decoupled)

$$\Delta(2SCs) \propto \Delta \epsilon^{ab3} \epsilon_{\alpha\beta} \quad \delta\mu \ll \Delta,$$

Crystalline or gapless phases:

Intermediate densities, large m_s (strange quark decoupled)

$$\Delta(\text{cryst.}) \propto \epsilon_{\alpha\beta} \Delta_0 e^{i\vec{Q}\cdot\vec{r}} \quad \delta\mu \geq \Delta,$$

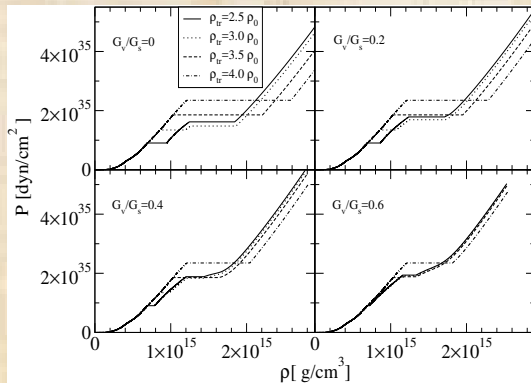
CFL phase:

High densities nearly massless u, d, s quarks

$$\Delta(\text{CFL}) \propto \langle 0 | \psi_{\alpha L}^a \psi_{\beta L}^b | 0 \rangle = -\langle 0 | \psi_{\alpha R}^a \psi_{\beta R}^b | 0 \rangle = \Delta \epsilon^{abC} \Delta \epsilon_{\alpha\beta C}.$$

EOS hadronic matter + Q1 (2SC) and Q2 (CFL) phases of matter

- **Maxwell:** large surface tension \rightarrow sharp jump: $P_N(\mu_B) = P_Q(\mu_B)$
- **Glendenning:** low surface \rightarrow smooth transition



Synthetic equations of state with constant speed of sound

Dense QCD
and compact
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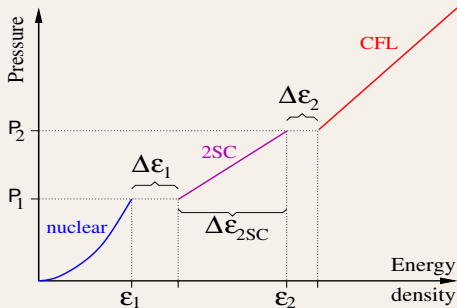
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Parameters of the models:

$$(\epsilon_1, P_1) \quad \Delta\epsilon_1, \quad \Delta\epsilon_{2SC} \quad (\epsilon_2, P_2) \quad \Delta\epsilon_2$$

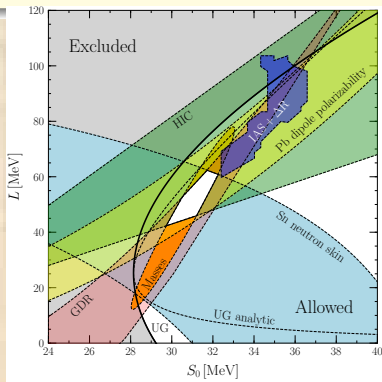
Note that there are five independent parameters.

Taylor expansion of nuclear energy

$$E(\chi, \delta) \simeq E_0 + \frac{1}{2!} K_0 \chi^2 + \frac{1}{3!} Q_{\text{sym}} \chi^3 + E_{\text{sym}} \delta^2 + L \delta^2 \chi + \mathcal{O}(\chi^4, \chi^2 \delta^2), \quad (1)$$

where $\delta = (n_n - n_p)/(n_n + n_p)$ and $\chi = (\rho - \rho_0)/3\rho_0$.

- saturation density
 $\rho_0 = 0.152 \text{ fm}^{-3}$
- binding energy per nucleon
 $E/A = -16.14 \text{ MeV}$,
- incompressibility
 $K_{\text{sat}} = 251.15 \text{ MeV}$,
- skewness $Q_{\text{sat}} = 479$
- symmetry energy
 $E_{\text{sym}} = 32.30 \text{ MeV}$,
- symmetry energy slope
 $L_{\text{sym}} = 51.27 \text{ MeV}$,
- symmetry incompressibility
 $K_{\text{sym}} = -87.19 \text{ MeV}$



Credit: Lattimer et al 2017

Consistency between the density functional and experiment

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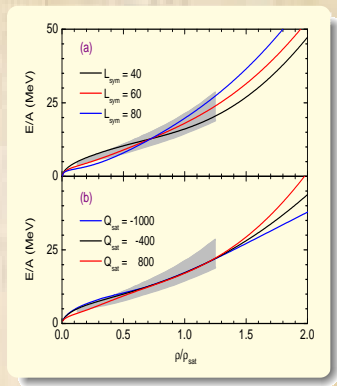
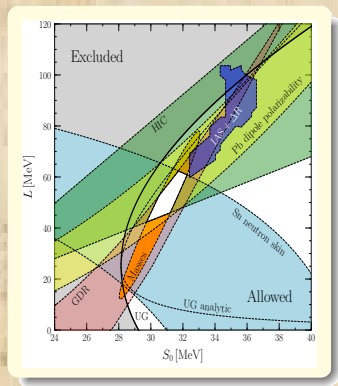
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- Uncertainties will be quantified in terms of variation of higher-order characteristics around the central fit values.
- Low density physics depends strongly on the value of L_{sym} with strong correlation to the radius of the star and tidal deformability
- High-density physics strongly depends on the value of Q_{sym} with strong correlations to the mass of the star.

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Mass-Radius diagram

Stationary compact stars

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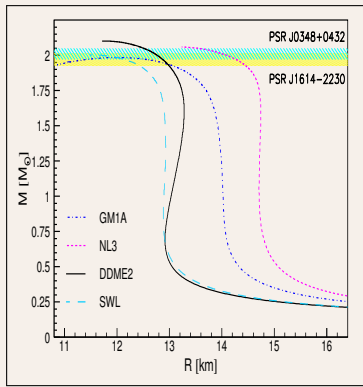
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- Einstein's field equations:

$$G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = -8\pi T_{\mu\nu},$$

- Energy-momentum tensor:

$$T_{\mu\nu} = -P(r)g_{\mu\nu} + [P(r) + \epsilon(r)]u_{\mu}u_{\nu}$$



TOV equations, static spherically symmetrical stars:

$$\frac{dP(r)}{dr} = -\frac{G\epsilon(r)M(r)}{c^2r^2} \left(1 + \frac{P(r)}{\epsilon(r)}\right) \left(1 + \frac{4\pi r^3 P(r)}{M(r)c^2}\right) \left(1 - \frac{2GM(r)}{c^2r}\right)^{-1}.$$

$$M(r) = 4\pi \int_0^r r'^2 \epsilon(r') dr'.$$

$$P[\epsilon] \rightarrow M, R, I, Q, \dots$$

Quarks and new equilibria of compact objects

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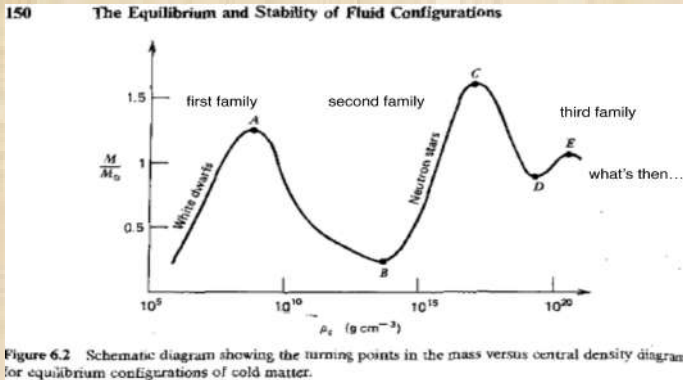
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S. Shapiro, S. Teukolsky, "Black holes, White dwarfs and Neutron Stars"

- *White dwarfs* - first family, $M \leq 1.5M_{\odot}$, [S. Chandrasekhar, L. Landau (1930-32)]
- *Neutron Stars* - second family, $M \leq 2M_{\odot}$, [Oppenheimer-Volkoff (1939)]
- *Hybrid Stars* - third family, $M \leq 2M_{\odot}$, [Gerlach (1968), Glendenning-Kettner (2000)]
- *Fourth Family* - see Phys. Rev. Lett. 119, 161104 (2017).

EoS with sequential phase transitions

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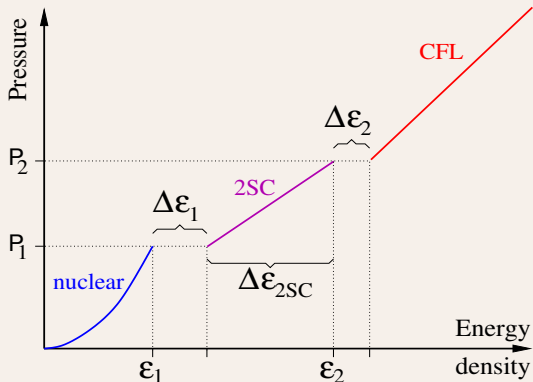
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Need to specify:

The scheme extends the EoS with constant speed of sound (CSS) of M. G. Alford, S. Han, M. Prakash, Phys. Rev. D 88, 083013 (2013) to double phase transitions: Phys. Rev. Lett. 119, 161104 (2017).

EoS in analytical form

$$P(\varepsilon) = \begin{cases} P_1, & \varepsilon_1 < \varepsilon < \varepsilon_1 + \Delta\varepsilon_1 \\ P_1 + s_1 [\varepsilon - (\varepsilon_1 + \Delta\varepsilon_1)], & \varepsilon_1 + \Delta\varepsilon_1 < \varepsilon < \varepsilon_2 \\ P_2, & \varepsilon_2 < \varepsilon < \varepsilon_2 + \Delta\varepsilon_2 \\ P_2 + s_2 [\varepsilon - (\varepsilon_2 + \Delta\varepsilon_2)], & \varepsilon > \varepsilon_2 + \Delta\varepsilon_2 . \end{cases}$$

Need to specify:

- the two speeds of sounds: s_1 and s_2
- the point of transition from NM to QM ε_1, P_1
- the magnitude of the first jump $\Delta\varepsilon_1$
- the size of the 2SC phase, i.e, the second transition point ε_2, P_2
- the size of the second jump $\Delta\varepsilon_2$

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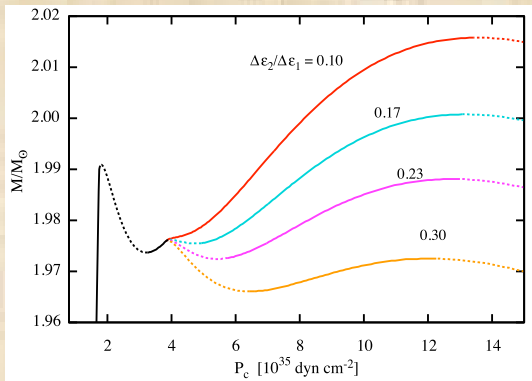
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Mass-central pressure relation



- Phase Q1: $P_1 = 1.7 \times 10^{35} \text{ dyn cm}^{-2}$, $\Delta\epsilon_{2\text{SC}}/\epsilon_1 = 0.27$, $\Delta\epsilon_1/\epsilon_1 = 0.6$.
Phase Q2: 4 different values of $\Delta\epsilon_2$.
- Speeds of sound $s_1 = 0.7$ and $s_2 = 1$.
- Stable branches \rightarrow solid lines, unstable branches \rightarrow dashed lines.
- Triplets emerge for $\Delta\epsilon_2 = 0.23$

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Mass-radius relation

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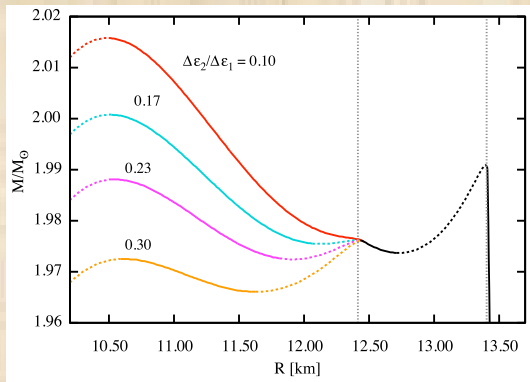
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Same as previous slide but the $M - R$ relation.

Emergence of twins and triplets \rightarrow strong first order phase transition in quark matter.

Internal structure of triplet stars

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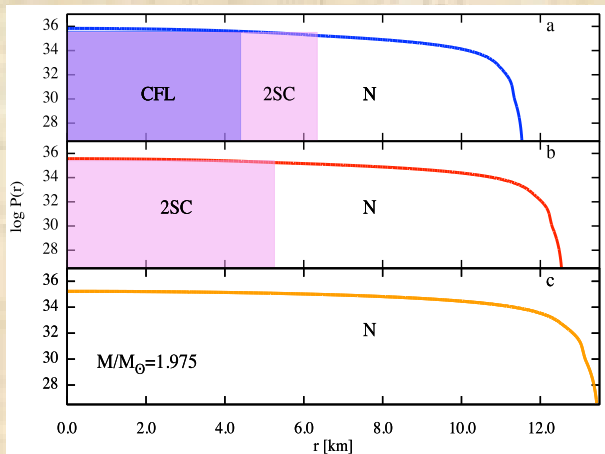
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- Internal profiles of triplets with $M = 1.975 M_{\odot}$ and $\Delta\varepsilon_2/\Delta\varepsilon_1 = 0.23$.
- “N” \rightarrow nuclear only, 2SC \rightarrow single phase, CFL, 2SC \rightarrow two phases.

Stability “matrix” for different magnitudes of jumps

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$\Delta\varepsilon_2/\Delta\varepsilon_1$	$\Delta\varepsilon_1/\varepsilon_1$			
	0.4	0.5	0.6	0.7
0.1	s, s	s, s	us, s N-2SC	u, us N-CFL
0.2	s, s	s, s	us, us triplet	u, us N-CFL
0.3	s, s	s, s	us, us N-2SC;N-CFL	u, us N-CFL
0.4	s, s	s, us 2SC-CFL	us, u N-2SC	u, u
0.5	s, s	s, us 2SC-CFL	us, u N-2SC	u, u

- Stable/unstable branches are referred by s/u , the Q1 and Q2 phases.
- Increasing the jumps \rightarrow instability

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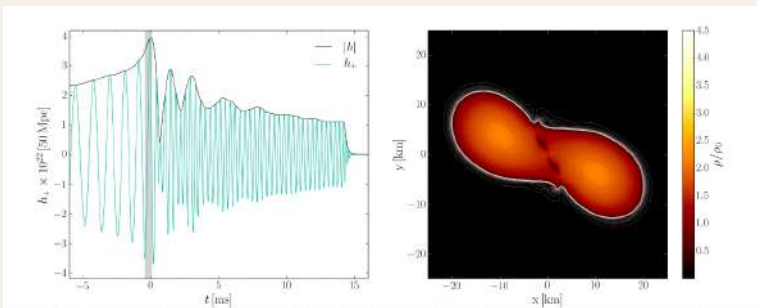
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Tidal deformabilities and radii of compact stars

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The GW170817 event

GW170817: First gravitational waves from a neutron star merger
(Ligo-Virgo-Collaboration)

The associated EM events observed by over 70 observatories :

- + 2sec gamma ray burst is detected
- +10 h 52 min bright source in optical
- +11 h 36 min infrared emission; +15 h ultraviolet
- +9 days X-rays; +16 days radio

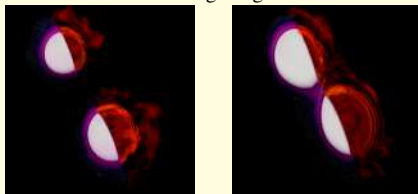
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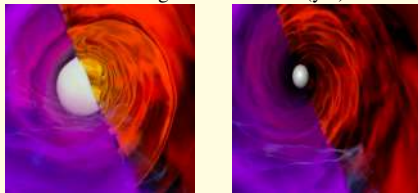
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Pre-merger signal



Post-merger not observed (yet)



The gravitational wave signal allows for extraction of the tidal deformability of the two neutron stars Λ_1 and Λ_2 .

$$Q_{ij} = -\lambda \mathcal{E}_{ij}, \quad \Lambda = \frac{\lambda}{M^5},$$

where Q_{ij} is the induced quadrupole moment, \mathcal{E}_{ij} is the tidal field of the partner.

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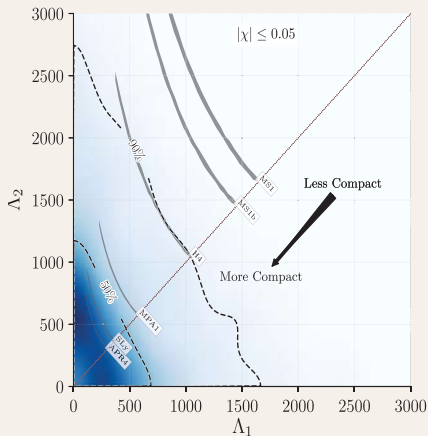
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	Low-spin priors ($ \chi \leq 0.05$)	High-spin priors ($ \chi \leq 0.89$)
Primary mass m_1	1.36–1.60 M_\odot	1.36–2.26 M_\odot
Secondary mass m_2	1.17–1.36 M_\odot	0.86–1.36 M_\odot
Chirp mass \mathcal{M}	1.188 $^{+0.004}_{-0.002} M_\odot$	1.188 $^{+0.004}_{-0.002} M_\odot$
Mass ratio m_2/m_1	0.7–1.0	0.4–1.0
Total mass m_{tot}	2.74 $^{+0.04}_{-0.01} M_\odot$	2.82 $^{+0.47}_{-0.09} M_\odot$
Radiated energy E_{rad}	$> 0.025 M_\odot c^2$	$> 0.025 M_\odot c^2$
Luminosity distance D_L	40 $^{+8}_{-14}$ Mpc	40 $^{+8}_{-14}$ Mpc
Viewing angle Θ	$\leq 55^\circ$	$\leq 56^\circ$
Using NGC 4993 location	$\leq 28^\circ$	$\leq 28^\circ$
Combined dimensionless tidal deformability $\bar{\Lambda}$	≤ 800	≤ 700
Dimensionless tidal deformability $\Lambda(1.4M_\odot)$	≤ 800	≤ 1400



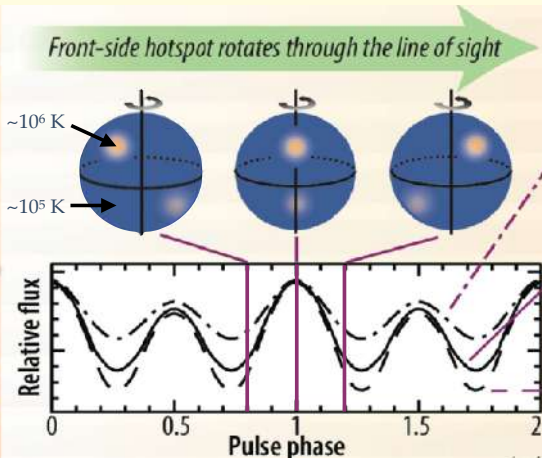
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Thermal Lightcurve Model



Pulse-profile modeling of PSR J0030+0451 and PSR J0740+6620 [Riley et al 2019,2021),
Miller et al 2019,2021]

- $1.34^{+0.15}_{-0.16} M_{\odot} \rightarrow 12.71^{+1.14}_{-1.19}$ km, $1.44^{+0.15}_{-0.14} M_{\odot} \rightarrow 13.02^{+1.24}_{-1.06}$ km,
- $2.08^{+0.09}_{-0.09} M_{\odot} \rightarrow 12.39^{+1.30}_{-0.98}$ km, $2.07^{+0.07}_{-0.07} M_{\odot} \rightarrow 13.71^{+2.61}_{-1.50}$ km.

Mass-radius relation for hadronic stars

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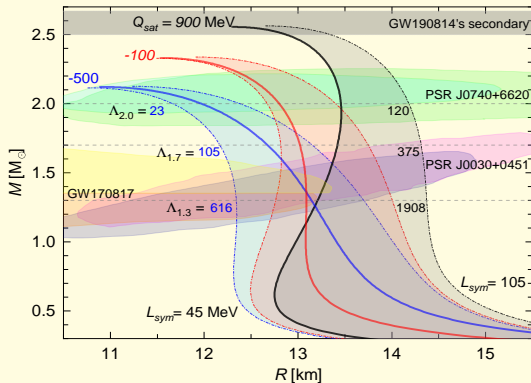
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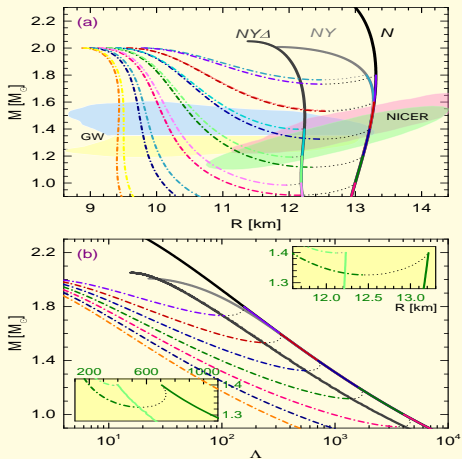


- The range of L_{sym} corresponds to the lower inference and mean value found from PREX-II analysis.
- The maximum mass increases monotonically with Q_{sat} .
- Low L_{sym} values allow for GW170817 to be explained by hadronic stars for all the values of Q_{sat} , but are in tension with the PREX-II analysis of Indiana group.

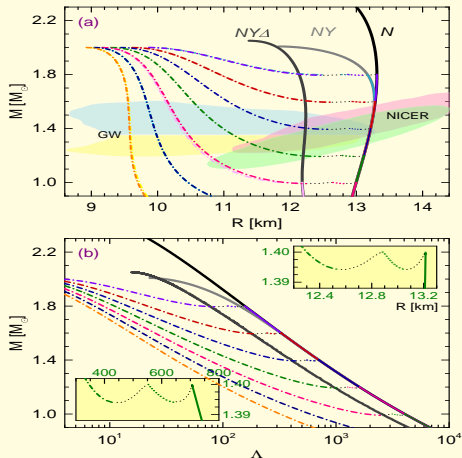
$M - R$ and $M - \Lambda$ for twin starsDense QCD
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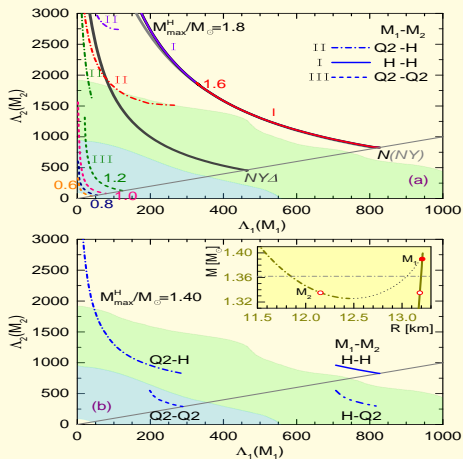
(a) Mass-radius relation for hybrid stars with a single QCD phase transition, with different hadronic envelopes. (b) Mass-deformability relation for stars featuring nucleonic envelopes. The inset shows the results for the case $M_{\max}^H/M_\odot = 1.20$.

$M - R$ and $M - \Lambda$ for triplet stars

(a) Mass-radius relation for hybrid stars with a single QCD phase transition, with different hadronic envelopes. (b) Mass-deformability relation for stars featuring nucleonic envelopes. The inset shows the results for the case $M_{\max}^H/M_\odot = 1.20$.

Λ - Λ for twin starsDense QCD
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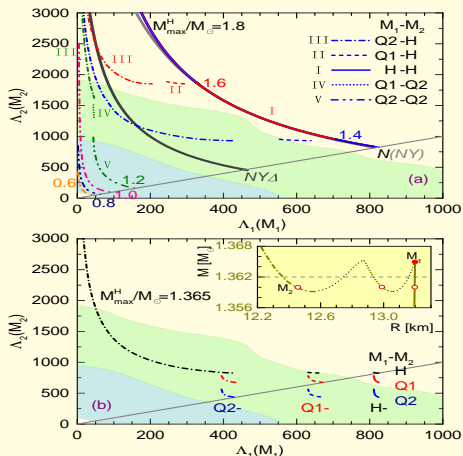
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- a) Tidal deformabilities of compact objects in the binary with chirp mass $\mathcal{M} = 1.186M_\odot$
 (b) Prediction by an EoS with maximal hadronic mass $M_{\max}^H = 1.365M_\odot$. The inset shows the mass-radius relation around the phase transition region. The circles M_2 are two possible companions for circle M_1 , generating two points in the Λ_1 - Λ_2 curves while one point is located below the diagonal line.

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The case of double phase transition a) Tidal deformabilities of compact objects in the binary with chirp mass $\mathcal{M} = 1.186 M_\odot$ (b) Prediction by an EoS with maximal hadronic mass $M_{\max}^H = 1.365 M_\odot$. The inset shows the mass-radius relation around the phase transition region. The circles M_2 are two possible companions for circle M_1 , generating two points in the Λ_1 - Λ_2 curves while one point is located below the diagonal line.

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Universal relations

Universality relations of TOV solutions:

- Universal (independent of the underlying EoS) relations among the global properties of compact stars - $I - L - Q$ relations. (Yagi and Yunes 2013a; Maselli et al. 2013; Breu and Rezzolla 2016; Yagi and Yunes 2017)
Well established for:
 - (a) zero temperature slowly rotating stars
 - (b) rapidly rotating cold star
 - (c) magnetized cold star
- Finite temperature stars (proto-neutron stars, BNS remnants) - universalities, $I - L - Q$ relations and $I(C)$ are broken (Martinon et al. 2014; Marques et al. 2017; Lenka et al. 2019). Both $S = \text{Const}$ and S -gradients
- But if one considers fixed values of $(S/A, Y_{L,e})$ universal relations hold - accuracy comparable to cold compact stars (A. Raduta, M. Oertel, A. S., [arXiv:2008.00213](https://arxiv.org/abs/2008.00213))
- Universalities also hold for rapidly rotating hot stars for fixed values of $(S/A, Y_{L,e})$ (S. Khadkikar, A. Raduta, M. Oertel, A. S. [arXiv:2102.00988](https://arxiv.org/abs/2102.00988))
- Universalities also hold for cold ([arXiv:1712.00451](https://arxiv.org/abs/1712.00451)) and hot ([arXiv:2112.10439](https://arxiv.org/abs/2112.10439)) rapidly rotating hybrid stars (Paschalidis et al, Largani et al.)
- Universality can be used to extract the maximum mass of hot static compact stars from GW170817 [arXiv:2102.00988](https://arxiv.org/abs/2102.00988)

Input EoS to test universalities

Dense QCD
and compact
stars

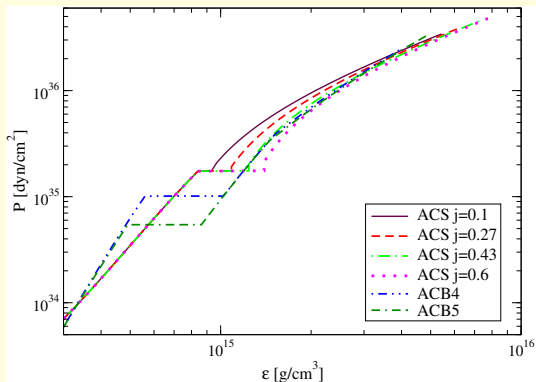
A Sedrakian

Dense QCD

Mass-Radius
diagram

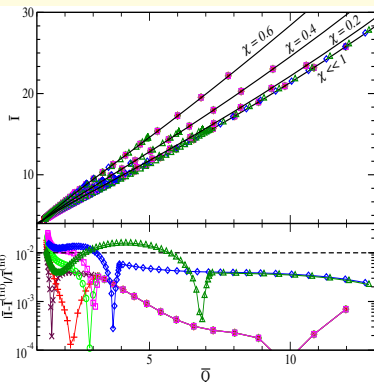
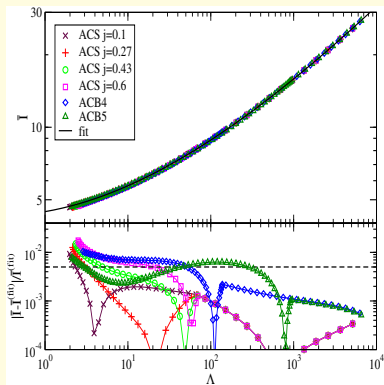
Tidal
deformabilities
and radii of
compact stars

Universality
relations



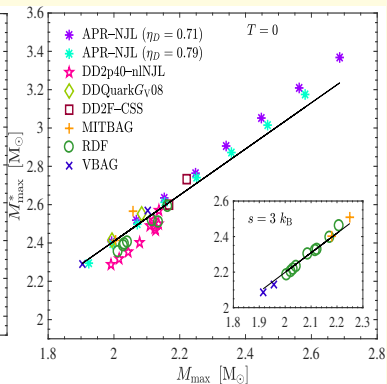
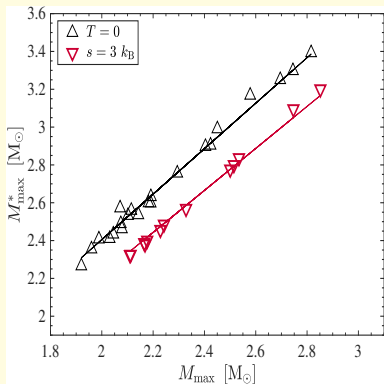
- Hybrid star EoS with single phase transition first used to test the universalities for such objects

Examples of universalities



- Moment of inertia vs tidal deformability and moment of inertia vs quadrupole moment

Examples of universalities



- Maximum masses of Keplerian vs static hadronic (left) and hybrid (right) stars.

Maximum TOV mass from GW170817

Dense QCD
and compact
stars

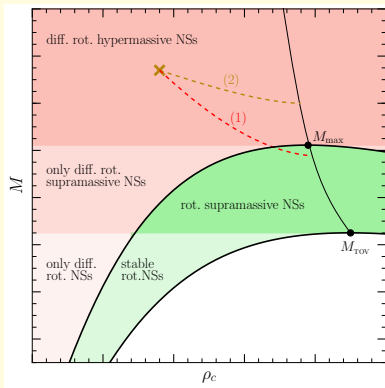
A Sedrakian

Dense QCD

Mass-Radius
diagram

Tidal
deformabilities
and radii of
compact stars

Universality
relations



- the merger leaves behind a hypermassive neutron star (HMNS)
- the internal dissipation leads to vanishing internal shears and uniform rotation.
- the star enters the region of stability of supramassive neutron stars close to the maximum mass
- the star crosses the stability line beyond which it is unstable to collapse

Maximum TOV mass from GW170817

- The extraction of the upper limit circumvents the full dynamical study and uses the *baryon mass* conservation at *at merger* $t = 0$ and *collapse* $t = t_c$

$$M_B(t_c, S/A, Y_e) = M_B(0) - M_{\text{out}} - M_{\text{ej}},$$

- Transform from the baryonic to the gravitational mass

$$M_B(t_c, S/A, Y_e) = \eta(S/A, Y_e)M(t_c, S/A, Y_e) = \eta(S/A, Y_e)M_K^*(S/A, Y_e),$$

The last step assumes that the star is Keplerian (Shibata et al 2019 relax this assumption).

- Solve the mass conservation for hot Keplerian mass

$$M_K^*(S/A, Y_e) = \frac{1}{\eta(S/A, Y_e)} [\eta(0)M(0) - M_{\text{out}} - M_{\text{ej}}].$$

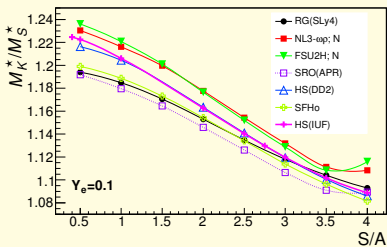
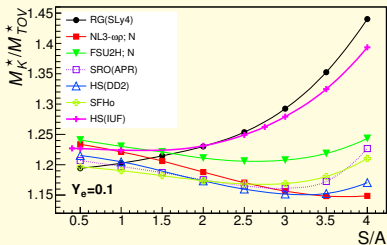
Dense QCD
and compact
stars

A Sedrakian

Dense QCD

 Mass-Radius
diagram

 Tidal
deformabilities
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compact stars

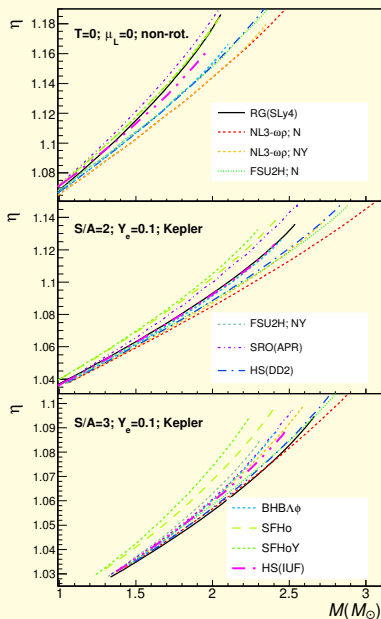
 Universalities
relations


The different normalizations (cold vs hot) show that the universality is broken when normalized to the cold TOV mass and is maintained if normalized by static hot star.

Dense QCD
and compact
stars

A Sedrakian

Dense QCD

Mass-Radius
diagramTidal
deformabilities
and radii of
compact starsUniversality
relationsDependence of the η parameter on the gravitational mass.

The analysis of GW170817 gives us the values:

- $M(0) = 2.73M_{\odot} \begin{smallmatrix} +0.04 \\ -0.01 \end{smallmatrix}$
- $M_{ej} = 0.04 \pm 0.01M_{\odot} \rightarrow M_{out} + M_{ej} = 0.1 \pm 0.041$
- In GW170817 the primary/secondary masses lie in the range $1.35 \leq M/M_{\odot} \leq 1.6$
- for cold compact stars based on our collection of EoS we have $\eta(0) \simeq 1.120 \pm 0.002$ for $M = 1.6M_{\odot}$ and $\eta(0) \simeq 1.085 \pm 0.001$ for $M = 1.2M_{\odot}$
- For our estimates we adopt the value $\eta(0) \simeq 1.1004 \begin{smallmatrix} +0.0014 \\ -0.0003 \end{smallmatrix}$ leading to $M_B(0) = 3.00 \begin{smallmatrix} +0.05 \\ -0.01 \end{smallmatrix} M_{\odot}$.
- Assuming that the star is rotating at the Keplerian frequency we then find that $\eta(2, 0.1) \simeq 1.139 \pm 0.004$ and $\eta(3, 0.1) \simeq 1.099 \pm 0.003$. For the quantity $(M_{out} + M_{ej})/\eta(S/A, Y_e)$ we obtain 0.087 ± 0.036 and 0.091 ± 0.037 for $S/A = 2$ and 3 and $Y_e = 0.1$,
- Substituting the numerical values in

$$M_K^*(S/A, Y_e) = \frac{1}{\eta(S/A, Y_e)} [\eta(0)M(0) - M_{out} - M_{ej}] .$$

we find

$$M_K^*(2, 0.1) = 2.55 \begin{smallmatrix} +0.06 \\ -0.04 \end{smallmatrix}, \quad M_K^*(3, 0.1) = 2.64 \begin{smallmatrix} +0.06 \\ -0.04 \end{smallmatrix} .$$

Next step gives us *maximum mass of non-rotating hot compact stars*, using *universality*

$$M_S^*(2, 0.1) = 2.19_{-0.03}^{+0.05}, \quad M_S^*(3, 0.1) = 2.36_{-0.04}^{+0.05}.$$

The universality is broken, but we can deduce an upper limit on *the maximum mass of cold compact stars*. The average values $C_M^* = 1.19 \pm 0.04$ for $S = 2$ and $C_M^* = 1.18 \pm 0.11$ for $S = 3$ can now be used to obtain, respectively,

$$M_{\text{TOV}}^* = 2.15_{-0.07-0.16}^{+0.09+0.16}, \quad M_{\text{TOV}}^* = 2.24_{-0.07-0.44}^{+0.10+0.44}.$$

(2σ standard deviation)

- Constant entropy per baryon and constant electron fraction star (?)
- $S/A = 2$ and 3 – average values for the inner part of the merger remnant.
- more precise result would require a profile for S

Conclusion: Accounting for the finite temperature of the merger remnant relaxes the derived constraints on the maximum mass of the cold, static compact star, obtained in by Margalit-Metzger 2017, Rezzolla et al 2018, Ruiz et al (2018), Shibata et al (2019a).

Universality is lost and the final upper limit becomes EoS dependent due to the EoS dependence of C_M^ .*